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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	ICI 101
First Inventor or Application Identifier	Hans Josef Stauss
Title	IMMUNOTHERAPEUTIC METHODS USING EPITOPES OF WT-1 and GATA-1
Express Mail Label No.	EL 571 226 045 US

APPLICATION ELEMENTS
 See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
 Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **81**]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **9**]
4. Oath or Declaration [Total Pages **3**]
 - a. ☒ Unexecuted
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☒ Computer Readable Copy
 - b. ☒ Paper Copy (identical to computer copy)
 - c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☒ Other: **Check for \$960.00**

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS
☒ Customer Number or Bar Code Label _____ or ☐ Correspondence address below
 (Insert Customer No. or Attach bar code label here)

Name	Patrea L. Pabst Arnall Golden & Gregory, LLP				
Address	2800 One Atlantic Center 1201 West Peachtree Street				
City	Atlanta	State	GA	Zip Code	30309-3450
Country	United States	Telephone	(404) 873-8794	Fax	(404) 873-8795

Name (Print/Type)	Robert A. Hodges	Registration No. (Attorney/Agent)	41,074
Signature		Date	7/26/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL
for FY 2000*Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.*
EXPRESS MAIL LABEL NO. EL571226045US**TOTAL AMOUNT OF PAYMENT** (\$) 960.00**Complete if Known**

Application Number	Not Yet Assigned
Filing Date	July 26, 2000
First Named Inventor	Hans Josef Stauss
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	ICI 101

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **01-2507**
Deposit Account Name **Arnall Golden & Gregory, LLP**

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- 2.
- ☒
- Payment Enclosed:**
-
- ☒
- Check
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	690.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 690.00**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
35	-20 = 15	x 18.00	= 270.00
Independent Claims	1	-3 = 0	x = 0.00
Multiple Dependent			= 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 270.00**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

**Represents the difference between the fee for a ☐ month extension of time and a ☐ month extension of time.

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00**SUBMITTED BY**Typed or Printed Name **Robert A. Hodges**Signature Date **7/26/00****Complete (if applicable)**Reg. Number **41,074**Deposit Account User ID **01-2507**

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PTO/SB/05 (4/98)
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3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 9]	ACCOMPANYING APPLICATION PARTS 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input checked="" type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. <input type="checkbox"/> * Small Entity Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input checked="" type="checkbox"/> Other: Check for \$960.00	
4. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Unexecutedb. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).		
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☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ / _____


Prior application information: Examiner _____ Group / Art Unit: _____

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Name (Print/Type)	Robert A. Hodges	Registration No. (Attorney/Agent)	41,074
Signature		Date	7/26/00

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APPLICATION

FOR

UNITED STATES LETTERS PATENT

BY

HANS JOSEF STAUSS AND LIQUAN GAO

FOR

**IMMUNOTHERAPEUTIC METHODS
USING EPITOPES OF WT-1 AND GATA-1**

009270" E9652960

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: Hans Josef Stauss and Liquean Gao

Serial No.: Continuation of
PCT/GB99/03572

Express Mail Label No.: EL 571 226 045 US
Date of Deposit: July 26, 2000

Filed: July 26, 2000

For: *IMMUNOTHERAPEUTIC METHODS USING EPITOPES OF
WT-1 AND GATA-1*

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

**REQUEST FOR FILING A CONTINUATION APPLICATION
UNDER 37 C.F.R. § 1.53(b) AND 35 U.S.C. § 111(a)**

Sir:

This is a request for filing a continuation application under 37 C.F.R. § 1.53(b) and 35 U.S.C. § 111(a) of pending International Application No. PCT/GB99/03572, filed in the United Kingdom Receiving Office for the Patent Cooperation Treaty on November 2, 1999, by Hans Josef Stauss and Liquean Gao, for "*Immunotherapeutic Methods Using Epitopes of WT-1 and GATA-1*", which claims priority to United Kingdom Application No. 9823897.5 filed November 2, 1998. The application includes 1 page of Abstract, 73 pages of Specification, 7 pages of Claims, and 9 sheets of Informal Drawings, and an unexecuted Declaration of Inventorship.

A Preliminary Amendment is enclosed.

The inventorship for the claims in the continuation application is the same as in the prior application.

This application contains nucleic acid and/or protein sequences as defined in 37 C.F.R. § 1.821-1.825. Pursuant to 37 C.F.R. § 1.821-1.825, applicant encloses a 3 & 1/2" diskette containing a computer-readable form of the Sequence Listing for the new application. The application has a paper copy of the Sequence Listing incorporated therein.

I declare that the material on the diskette is identical to the paper copy of the Sequence Listing and the sequences in the application, that the Sequence Listing does not add new matter to the application, and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements may jeopardize the validity of the application or any patent issuing thereon.

The Commissioner is authorized to charge Deposit Account No. 01-2507 in the amount of \$960.00 to cover the filing fee for a large entity. It is believed that this is the proper filing fee since the application includes 35 independent claims and a total of 1 claims, following entry of the enclosed Preliminary Amendment. The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment, to Deposit Account No. 01-2507. To facilitate this process, applicants enclose a duplicate of this letter.

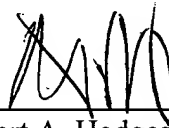
Continuation of International Application No. PCT/GB99/03572
"Immunotherapeutic Methods Using Epitopes of WT-1 and GATA-1"
By: Hans Josef Stauss and Liquan Gao
Filed: July 26, 2000
Request for Filing a Continuation Application Under 37 C.F.R. § 1.53(b)
Express Mail Label No.: EL 571 226 045 US

All correspondence concerning this application should be mailed to:

Patrea L. Pabst, Esq.
ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450

This application is being filed on July 26, 2000, by mailing the application to BOX
PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 via the
United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R.
§ 1.10. The Express Mail label number appears in the heading of this paper, which is attached to
the application papers pursuant to 37 C.F.R. § 1.10(b).

Respectfully submitted,



Robert A. Hodges
Reg. No. 41,074

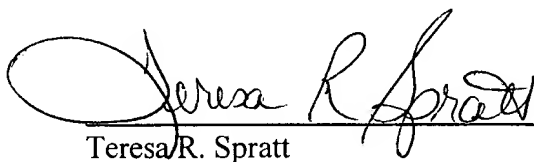
Date: July 26, 2000

ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450
(404) 873-8796
(404) 873-8797 (FAX)

Continuation of International Application No. PCT/GB99/03572
"Immunotherapeutic Methods Using Epitopes of WT-1 and GATA-1"
By: Hans Josef Stauss and Liquan Gao
Filed: July 26, 2000
Request for Filing a Continuation Application Under 37 C.F.R. § 1.53(b)
Express Mail Label No.: EL 571 226 045 US

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this Request for Filing a Continuation Application Under 37 C.F.R. § 1.53(b), and any documents referred to as attached therein are being deposited with the United States Postal Service on this date, July 26, 2000, in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, mailing label number EL 571 226 045 US, addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.


Teresa R. Spratt

Date: July 26, 2000